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Inventor : Title :

Yoshinobu Kaneyama DEVICE MOUNTING METHOD

Assignee : NEC Corporation

Enclosed herewith please find the following documents in the above-identified application for United States Letters Patent:

| 14 Pages of Specification including Abstract and Claims 19 Numbered Claims Calculated as 19 Claims for Fee Purposes 5 Sheets of Drawing Containing Figures 1A to 5. Formal 1 Declaration and Power of Attorney 2 Priority is Claimed under 35 U.S.C. §119: Convention Date November 27, 1997 for JAPAN Appln. S.N. 326154/1997 | |
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| X Certified Priority Application | |
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Signature November 24, 1998 Date of Signature Steven I. Weisburd
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DEVICE MOUNTING METHOD

BACKGROUND OF THE INVENTION

5 1. Field of the Invention

The present invention relates to a method of mounting a device such as an optical device or the like, and particularly to a method of positioning and mounting a device such as an optical device or the like to a substrate by using solder bumps.

10 2. Description of the Related Art

As disclosed in TECHNICAL REPORT IEICE, 0QE93-145 (1993-12), such a method of mounting the optical device on the substrate has been used to fix the optical device with high positioning precision in an optical communication module.

Figs. 1A to 1C and Figs. 2A to 2C are diagrams showing a series of steps for a conventional method of mounting an optical device.

A circular substrate electrodes 6 of 50 μm in diameter are formed on the surface of a silicon substrate 5 to join an optical device 13 of 300 μm square to the surface of the silicon substrate 5. A die 1 and a punch 2 are used to punch a solder sheet 3 to thereby obtain solder pieces 4. A heater 8 is embedded in a heater table 16, and a flux 15 is used to remove an oxide film on the surface of the solder pieces 4 when the soldering pieces 4 are melted. The solder pieces 4 are melted and the shape thereof is varied, thereby forming solder bumps 12. Circular optical device electrodes 14 of 50 μm in diameter which are formed on the optical device 13 are joined to the substrate electrodes 6 through the solder bumps 12.

Next, the operation of each step will be described.

As shown in Fig. 1A, the solder pieces 4 which are punched out from the solder sheet 3 by the die 1 and the punch 2 are bumped against the substrate electrodes 6 formed on the silicon substrate 5. Subsequently, as shown in Fig. 1B, the 5 silicon substrate 5 against which the solder pieces 4 are bumped is mounted on the heater table 16 in which the heater 8 is embedded. The flux 15 is coated on the solder pieces 4. Thereafter, the solder pieces 4 are heated by the heater 8 to be melted, and the oxide films on the surface of the soldering 0 pieces are removed by the flux 15, thereby forming the solder bumps 12 under surface tension as shown in Fig. 1C. Thereafter, the substrate 5 is washed to remove the residues of the flux 12. The substrate from which the residues of the flux 12 are removed is mounted on the heater table 16 again as shown in Fig. 2A.

Thereafter, the optical device 13 is temporarily mounted so that the optical device electrodes 14 formed on the optical device are positioned onto the solder bumps 12. At this time, as show in Fig. 2A, the center of each substrate electrode 6 and the center of each optical device electrode 14 are normally displaced from each other by 10 $\mu \rm m$ or more due to positional displacement at the mount time. Subsequently, the atmospheric air around the silicon substrate 5 and the optical device 13 is replaced by nitrogen to reduce the oxygen concentration to 10 ppm or less.

When the silicon substrate 5 is heated by the heater 8 to melt the solder bumps 12 as shown in Fig. 2B, the melted solder bumps 12 start to deform to the shape in which the surface area is minimized by the surface tension. As a result, the optical device 13 is shifted due to the deformation of the solder bumps 12, and the optical device 13 stops its movement at the position where the center of each substrate electrode 6 is coincident with

the center of each optical device electrode 14. Thereafter, the silicon substrate 5 is cooled to solidify the solder bumps 12, whereby the optical device 13 is fixed with high positioning precision. The positional displacement amount between the center 5 of the optical device electrode 14 and the center of the substrate electrode 6 at this time is equal to about $2\,\mu\,\mathrm{m}$ or less.

A first problem of the conventional method of mounting such a device as the optical device as described above or the 10 like resides in that an atmosphere of an extremely low oxygen concentration is required. It takes a long time to reduce the oxygen concentration of the atmosphere to 10 ppm or less, and also a device for controlling the oxygen concentration is very expensive. The reason for this is as follows. That is, in order 15 to melt solder in the air, flux must be used or the oxygen concentration must be reduced to an extremely low level. From the viewpoint of reliability, it is not preferable to use flux for a device such as an optical device or the like, and thus the oxygen concentration must be managed to be set to a very low level.

A second problem resides in that it is impossible to mount a large and heavy optical device with high positioning precision because the solder bumps are crushed flat. This is because any consideration of reducing the force applied to the melted solder bumps in accordance with the weight of the optical 25 device is not taken.

SUMMARY OF THE INVENTION

Therefore, an object of the present invention is to 30 provide a mount method of fixing a device such as an optical

device or the like onto a substrate with high positioning precision without managing the oxygen concentration.

Another object of the present invention is to provide a mount method of fixing a device such as a large and heavy optical 5 device or the like with high positioning precision by minute solder bumps.

In order to attain the above objects, according to the present invention, a mount method for joining a device to a substrate with soldering is characterized in that the joint of 10 the device and the substrate through solder is performed in liquid.

In the above mount method, the joint based on the soldering is performed while ultrasonic vibration is applied to the solder through the liquid.

Further, in order to attain the above object, according to the present invention, a method of joining a substrate electrode formed on a substrate and a device electrode formed on a device to each other by soldering to mount the device on the substrate, is characterized by comprising the steps of: attaching a solder piece to the substrate electrode; melting the solder piece in liquid to form a solder bump; matching the substrate electrode having the solder bump formed thereon with the device electrode and disposing the device so as to confront the substrate in the liquid; positioning the device electrode to the 25 substrate electrode by the surface tension of the melted solder bump when the solder bump is melted in the liquid to join the device electrode and the substrate electrode to each other; and then solidifying the solder bump.

In the above mount method, when the solder piece is 30 melted to form the solder bump, ultrasonic vibration is applied to the solder piece through the liquid.

In the above mount method, when the solder bump is melted in the liquid to join the device electrode and the substrate electrode to each other, ultrasonic vibration is applied to the 5 solder bump through the liquid.

In the mount method of the present invention, the liquid is inactive to the device and the substrate, and further inactive to the solder.

In the mount method of the present invention, the device 10 is an optical device, and the substrate is a semiconductor substrate.

The mount method of the present invention contains a step of melting the solder in inactive liquid. More specifically, the mount method of the present invention contains a step of heating the substrate and the device to be joined to each other by the solder while the substrate and the device are immersed in inactive liquid.

Further, the mount method of the present invention is characterized by containing a step of applying ultrasonic

20 vibration to the surface of the melted solder when the solder is melted, thereby breaking the oxide film on the surface of the melted solder. More specifically, the mount method of the present invention contains a step of transmitting the ultrasonic vibration generated by ultrasonic wave generator through the inactive liquid serving as a medium to the surface of the melted solder.

According to the present invention, since the device such as an optical device or the like, the substrate and the solder are immersed in the liquid, the ultrasonic vibration can be

30 transmitted through the liquid to the melted solder. Therefore.

the oxide film on the surface of the melted solder can be broken. Further, since these elements are located in the liquid. no oxygen exists around the solder. Therefore, even when the solder is melted, the surface of the solder is not oxidized.

5 Accordingly, the surface tension of the solder can exhibit its maximum effect, and the automatic positioning and mounting operation using the surface tension of the solder can be performed. In addition, the adhesion performance of the solder is excellent.

Since the device such as the optical device or the like is immersed in the liquid, buoyancy occurs, and the device becomes substantially light. Therefore, buoyancy is applied to a large heavy device and thus it becomes light. Accordingly, it can be sufficiently supported by melted solder bump which is easily 15 crushed flat in the air. Therefore, such a large and heavy device that cannot be mounted in the air can be automatically positioned and mounted by using the surface tension of the solder.

BRIEF DESCRIPTION OF THE DRAWINGS

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Figs. 1A to 1C are diagrams showing a series of steps of a conventional method of mounting an optical device;

Figs. 2A to 2C are diagrams showing a series of steps of a conventional method of mounting an optical device:

Figs. 3A to 3C are diagrams showing a series of steps of a mount method according to the present invention;

Figs. 4A to 4C are diagrams showing a series of steps of a mount method according to the present invention; and

Fig. 5 is a diagram showing an example of a substrate and 30 a device which are mounted according to the method of the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

A preferred embodiment according to the present invention will be described hereunder with reference to the accompanying drawings.

Figs. 3A to 3C and Figs. 4A to 4C are diagrams showing a series of steps for a device mount method of an embodiment 10 according to the present invention.

Referring to Figs. 3A to 3C and Figs. 4A to 4C, circular substrate electrodes 6 of $10\,\mu\,\mathrm{m}$ to $200\,\mu\,\mathrm{m}$ in diameter to join an optical device 13 to the surface of a substrate 5 are formed on the substrate 5. A die 1 and a punch 2 are used to punch a solder 15 sheet 3 to form solder pieces 4. The solder pieces 4 are melted and deformed in shape to obtain solder bumps 12. Optical device electrodes 14 of 10 μ m to 200 μ m in diameter which are formed on the optical device 13 are to be joined to the substrate electrodes 6 through the solder bumps 12. An ultrasonic wave 20 generator 10 for generating ultrasonic vibration and a heater 8 are secured to a container 7. As the ultrasonic wave generator 10 may be used an ultrasonic wave generator attached to an ultrasonic cleaning machine which is sold in the market and used to clean eyeglasses or other members. The ultrasonic vibration 11 25 generated by the ultrasonic wave generator 10 is propagated through inactive liquid 9 serving as a medium filled in the container 7. The inactive liquid 9 is inactive liquid which has no effect on the optical device 13 and the substrate 5, and it may be formed of organic compound of perfluorotrialkylamine group 30 $(C_nF_{2n+1})_3N$ or the like. The inactive liquid 9 being used has a

boiling point higher than a melting point of the solder bump 12. Further, a solder material must be suitably selected so that the melting point thereof is lower than the boiling point of the inactive liquid 9. For example, a combination of bismuth-tin 5 based solder BiSn (Bi 52wt%, Sn 48wt%) having a melting point of 138.5 °C and inactive liquid $(C_5F_{11})_3N$ having a boiling point of 215 °C may be considered.

Fig. 5 is a perspective view showing a product which is fabricated by the present invention.

Referring to Fig. 5, the circular substrate electrodes 6 formed on the substrate 5 and the circular optical device electrodes 14 formed on the optical device 13 are joined to each other through the solder bumps 12. These elements are positioned and fixed so that the center of each optical device electrode 14 15 is located just above the center of each substrate electrode 6.

Next, the steps of Figs. 3A to 3C and Figs. 4A to 4C will be described.

As shown in Fig. 3A, the solder sheet 3 is punched by the die 1 and the punch 2 to form the solder pieces 4. The solder 20 pieces 4 thus formed are bumped against the substrate electrodes 6 formed on the substrate 5. As shown in Fig. 3B, the substrate 5 against which the solder pieces 4 are bumped is disposed at the bottom portion of the container 7 in which the inactive liquid 9 is filled. The substrate 5 is heated by the heater 8 to melt the 25 solder pieces 4. At this time, the ultrasonic vibration 11 generated by the ultrasonic wave generator 10 minutely vibrates the surfaces of the melted solder pieces 4 through the inactive liquid 9 serving as medium and breaks the oxide films on the surfaces of the solder pieces 4. Therefore, as shown in Fig. 3C, 30 the solder bumps 12 can be formed from the solder pieces 4

without using flux.

Subsequently, as shown in Fig. 4A, the optical device 13 is temporarily mounted on the solder bumps 12 thus formed. At this time, the optical device 13 is temporarily mounted so that the center of each electrode 14 formed on the optical device 13 is located just above the center of each electrode 6 formed on the substrate 5, however, the positional displacement of 10 $\mu\,\mathrm{m}$ or more occurs normally due to dispersion of the mount precision. Subsequently, as shown in Fig. 4B, the substrate 5 is heated by 10 the heater 8 to melt the solder bumps 12, and at the same time the ultrasonic wave 11 generated by the ultrasonic wave generator 10 is propagated through the inactive liquid 9 to minutely vibrate the surfaces of the solder bumps 12. Therefore, the oxide films on the surfaces of the melted solder bumps 12 are broken and the 15 melted solder exhibits its surface tension at maximum. Therefore, the melted solder starts to deform to such a shape that the surface area thereof is minimum, and the optical device 13 is also moved due to the deformation.

At this time, as shown in Fig. 4C, the optical element 13 moves to the position where the center of each optical device electrode 14 is located just above the center of each substrate electrode 6, and stopped there. Thereafter, the solder bumps 12 are cooled and solidified to fix the optical device 13 to the substrate 5.

In the present invention, as the device a semiconductor device may be used, and as the substrate a substrate for mounting an electric element such as a ceramic substrate, printed circuit board, and the like may be used.

A first effect of the present invention resides in that 30 the solder between the device and the substrate can be performed

with no management of oxygen concentration. This is because the substrate and the device are immersed in liquid and the ultrasonic vibration is applied to the surface of the solder.

A second effect of the present invention resides in that

5 even a heavy device can be automatically positioned and mounted
by using the surface tension of solder. This is because the
positioning and mounting operation is carried out in liquid and
thus the force applied to the melted solder is reduced by the
amount corresponding to the buoyancy applied to the device and

10 the melted solder.

A third effect of the present invention resides in that no flux is required for soldering. This is because the solder is melted in liquid and ultrasonic vibration is applied to the surface of the solder.

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WHAT IS CLAIMED IS:

- 1. A mount method for joining a device to a substrate with soldering, characterized in that joint of said device and 5 said substrate through solder is performed in liquid.
 - 2. The mount method as claimed in claim 1, wherein the joint based on said solder is performed while ultrasonic vibration is applied to said solder through the liquid.

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- 3. The mount method as claimed in claim 1, wherein the liquid is inactive to said device and said substrate.
- 4. The mount method as claimed in claim 1, wherein said 15 device is an optical device.
 - 5. The mount method as claimed in claim 1, wherein said device is a semiconductor device.
- 20 6. The mount method as claimed in claim 1, wherein said substrate is a semiconductor substrate.
 - 7. The mount method as claimed in claim 1, wherein said substrate is a substrate for mounting an electric element.

- 8. The mount method as claimed in claim 1, wherein said substrate is a ceramic substrate.
- 9. The mount method as claimed in claim 1, wherein said 30 substrate is a printed circuit board.

10. A method of joining a substrate electrode formed on a substrate and a device electrode formed on a device to each other by solder to mount the device on the substrate, comprising the steps of:

attaching a solder piece to the substrate electrode;
melting the solder piece in liquid to form a solder bump;
matching the substrate electrode having the solder bump
formed thereon with the device electrode and disposing the device
so as to confront the substrate in the liquid;

positioning the device electrode to the substrate electrode by surface tension of the melted solder bump when the solder bump is melted in the liquid to join the device electrode and the substrate electrode to each other; and then

solidifying the solder bump.

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- 11. The method as claimed in claim 10, wherein when the solder piece is melted to form the solder bump, ultrasonic vibration is applied to the solder piece through the liquid.
- 20 12. The method as claimed in claim 10, wherein when the solder bump is melted in the liquid to join the device electrode and the substrate electrode to each other, ultrasonic vibration is applied to the solder bump through the liquid.
- 25 13. The method as claimed in claim 10, wherein the liquid is inactive to said solder, said device and said substrate.
 - 14. The method as claimed in claim 10, wherein said device is an optical device.

- 15. The method as claimed in claim 10, wherein said device is a semiconductor device.
- 16. The method as claimed in claim 10, wherein said 5 substrate is a semiconductor substrate.
 - 17. The method as claimed in claim 10, wherein said substrate is a substrate for mounting an electric element.
- 10 18. The method as claimed in claim 10, wherein said substrate is a ceramic substrate.
 - 19. The method as claimed in claim 10, wherein said substrate is a printed circuit board.

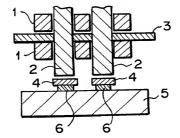
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ABSTRACT OF THE DISCLOSURE

When a substrate electrode (6) formed on a semiconductor substrate (5) and a device electrode (14) formed on an optical 5 device (13) are joined to each other by solder to mount the device (13) on the substrate (5), a solder piece attached to the substrate electrode (6) is melted in inactive liquid (9) to form a solder bump (12). The substrate electrode (6) on which the solder bump (12) is formed and the device electrode (14) are 10 matched with each other, and the device (13) is disposed so as to confront the substrate (5) in the inactive liquid (9). Thereafter, when the solder bump (12) is melted in the inactive liquid (9) and ultrasonic vibration (11) is applied to join the device electrode (6) and the substrate electrode (14) to each 15 other, the positioning of the device electrode (14) to the substrate electrode (6) is performed by the surface tension of the melted solder bump (12), and then the solder bump (12) is solidified.

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F I G .1A PRIOR ART



F I G.1B PRIOR ART

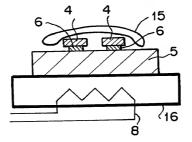
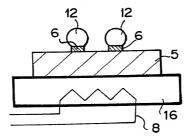
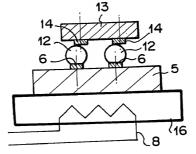


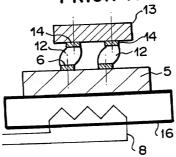
FIG.1C PRIOR ART



F I G.2A PRIOR ART



F I G.2B PRIOR ART



F I G.2C PRIOR_ART

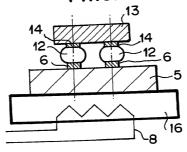


FIG.3A FIG.3B F I G.3C 9 12

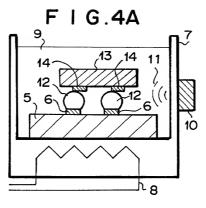
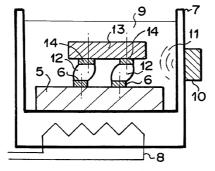
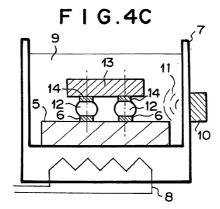
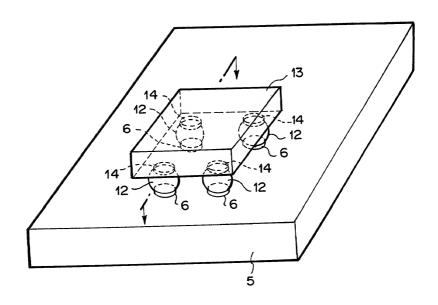


FIG.4B





F I G.5



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| As a below named inventor, I h that I verily believe that I am the or named) of the subject matter which | ereby declare that: n | ny residence, post off inventor (if only one | ice address and citiz | enship are | as stated intentor | 1.10 | |
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| the specification of which is attache | d hereto, unless the f | following box is chec | bad: | | | | |
| was filed on | | - | | lication N | lumber or | PCT International patent | |
| application number | | and was | amended on | | | (if any). | |
| I hereby state that I have review any amendment referred to above. I acknowledge the duty to discle Regulations, §1.56. I hereby claim priority benefits United States provisional application having a filing date before that of the | under Title 35, Unite | nown to be material to ad States Code §119 of have also identified b | o patentability in acc of any foreign applications any foreign applications any foreign applications are seen as a s | ordance w | ith Title 3 | 7, Code of Federal | |
| Prior Foreign or Provisional Applic | | | | | | | |
| COUNTRY | APPLICATION NUMBER | | DATE OF FILING (day, month, year) | | | PRIORITY CLAIMED UNDER 35 U.S.C. 119 | |
| Japan | 326154/1 | 997 | 27, 11 | , 199 | 7 | YES _x_ NO | |
| | | | | | | YES NO | |
| | | | | | | YES NO | |
| I hereby claim the benefit under subject matter of each of the claims paragraph of Title 35, United States Title 37, Code of Federal Regulatio international filing date of this appli | Title 35, United Star of this application is Code, §112, I acknown, §1.56 which beca cation. | tes Code, §120 of an not disclosed in the owledge the duty to d ome available between | y United States appli prior United States a isclose information v i the filing date of th | cation(s) I pplication which is m e prior ap | isted below in the man aterial to p plication a | v and, insofar as the mer provided by the first atentability as defined in and the national or PCT | |
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| I hereby declare that all stateme believed to be true; and further that punishable by fine or imprisonment, may jeopardize the validity of the ap | nts made herein of m | y own knowledge are | true and that all sta | | | | |
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